

JON R, KATHE

Concord, MA
jonrkathe@gmail.com

EXPERIENCE

NANKING ROAD CAPITAL MANAGEMENT (NRCM)

Performed various roles for this investment advisory firm focused on Taiwan and China

Hartford, CT
2006 to 2011

- CFO and CCO for both NRCM and the Taiwan Greater China Fund (TFC), for which NRCM acted as investment advisor (2011)
- Developed and implemented a marketing plan to broaden the AUM base for NRCM (2008-2010)
- Conducted a study on potential Small Cap investments in Taiwan, and subsequently acted as equity analyst for the Non-Tech portion of the TFC portfolio (2006-2008)

INDEPENDENT CONSULTANT

Highlights

Concord, MA
2000-2006

- Retained by Nomura Securities on four occasions to advise on potential new product offerings in line with their business expansion in the U.S. market. Conducted studies on the Mortgage, ABS, Private Equity and Illiquid Investment markets
- Conducted two market studies for Lewtan Industries, a developer of software that allowed issuers and investors alike to analyze in detail the performance of asset-backed securities.
- Ran several workshops for Novartis employees, both in the U.S. and Switzerland, using the ideas behind General Electric's "Workout" concept.

PAINE WEBBER, INC.

President, PaineWebber, Asia

Tokyo, Japan
1996 - 2000

- Senior corporate officer in Asia/Pacific region for PaineWebber Group
- Functionally ran the Fixed Income sales and trading operations based in Tokyo with a focus on MBS, Structured Investments, Euros and US Governments.

CONTINENTAL BANK/BANK OF AMERICA

Tokyo and London
1990-1996

- Ran Continental's Emerging Markets Group in Asia (1990-1994) and was the bank's Branch Manager in Tokyo until the Bank of America takeover (1992-1994)
- Head of Bank of America's Emerging Markets Group in Asia (1994-1996)
- Interim Head of Bank of America's Global Emerging Markets Group based in London (1996)

CITIBANK, NA

Hong Kong
1988-1990

- Head of the Philippines team for Citibank's Private Banking Group

CONTINENTAL BANK

Hong Kong
1986-1988

- Initiated and ran the bank's Emerging Markets business in Asia.

FIRST NATIONAL BANK OF CHICAGO

Hong Kong
1985-1986

- Responsible for the bank's Capital Markets business in Hong Kong and China.

CONTINENTAL BANK

Chicago and Taiwan
1978-1985

- Executive Trainee (1978-1979) and Asia/Pacific Group desk officer (1979-1981) in Chicago
- Commercial Banking Officer in the Taipei Branch of the bank (1981-1985).

EDUCATION

JOHNS HOPKINS, SCHOOL OF ADVANCED INTERNATIONAL STUDIES
M.I.A.

Washington, DC
1978

BOSTON COLLEGE
B.A., Political Science, Summa Cum Laude

Chestnut Hill, MA
1975

ADDITIONAL INFORMATION

- Languages: Proficient in Japanese. Conversational in Mandarin.
- Completed the AACSB Professionally Qualified Bridge Program in June, 2012