

# TONY LOPEZ, CPA, MBA

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## General Data

Residence: Studio City, CA 91604  
Telephone: (562) 235-1662 (mobile)  
tlopez@assurancepartnersllp.com

## Education & Certification

### **AACSB PROFESSIONALLY QUALIFIED BRIDGE PROGRAM GRADUATE (PQ)**

Completion of program structured to coincide with the accreditation standards of The Association to Advance Collegiate Schools of Business.

#### Professional Memberships:

- California Society of Public Accountants, Licensed Certified Public Accountant in California
- American Institute for Fraud Examiners, CFE (in progress)
- Institute of Internal Auditors (IIA)
- American Institute of Certified Public Accountants (AICPA)
- Financial Executives Institute (FEI)

MBA, Pepperdine University, Malibu, CA, 1993

B.S. Accounting, minor in Business Economics, California State University at Long Beach

Engineering Management Certificate (in progress), Caltech, Pasadena, CA

## Skills

- Extensive knowledge of internal audit standards, procedures, and techniques, including risk based auditing, COSO & Cobit framework and Sarbanes-Oxley compliance requirements
- Diversified Internal audit work that appraises a broad range of financial activities and operations
- Ensuring that audit resources are focused in the areas of greatest risk.
- Current Knowledge of US GAAP and SEC 10Q & 10K reporting requirements
- Familiarity with IFRS, International Operations and Consolidation and Localizations, FASB 52
- Assist audit committees and executive management by providing timely and independent recommendations on audit matters.
- Assist management in affirming its ownership of the system of internal controls and accountability for results.
- Developing measures that ensure efficiency in the audit process.
- Knowledge of Information systems, implementation of Oracle 11i financials
- Excellent project management skills, ability to execute and implement with a positive attitude
- Excellent communication skills, verbal and written and strong leadership traits
- Ability to work in a fast paced environment, oversee multiple projects and prioritize
- Ability to recruit, motivate, and train staff
- Instructor and training coordinator for the Internal Audit practice

## Business Experience

- Managed and coordinated multiple Sarbanes-Oxley 404 and 302 compliance activities for large public companies and working with management and the Audit Committee members in order to ensure compliance in the following areas:
- Prepared detailed project plan at the task level for implementing SOX 404
- Performed financial reporting risk assessments identify key business processes
- Managed and provided guidance to professional staff in completing business process documentation and risk control mapping and other COSO components
- Coordination & presentations to external auditors (big 4 firms) and audit committee members on various Sarbanes-Oxley initiatives and findings on behalf of clients
- Developed and implemented internal control systems for a national travel company with 105 offices located in five states.
- Analyzing business transactions and assessing the proper application of GAAP.
- Assisting with due diligence and analysis of acquisitions
- Overseeing the internal controls testing function of a global Oracle 11i implementation including the consolidation of international financial operations with 45 operating units, 436 entities, and 26 sets of books for a \$16 billion company

## Work Experience

07/06 to Present	Assurance Partners, LLP	Partner, Business Advisory Services
6/04 to 07/06	Jefferson Wells International	Director, Accounting & Internal Controls
01/03 to 06/04	Point.360	VP – Corporate Controller
9/96 to 12/02	Peck & Lopez, CPA's	Audit Partner
8/93 to 9/96	Stinchfield & Co., CPA's	Senior Audit Manager
4/87 to 7/93	Primary Color Systems Corporation	Chief Financial Officer
3/82 to 3/87	Unitours	International Corporate Controller

## Teaching Experience

Adjunct Lecturer 08/10 to Present, **California State University, Fullerton**  
Instructor for course, for Financial Accounting course 301B